#### EE 505

#### Lecture 3

#### Data Converter Operation and Characterization

-- Linearity Metrics

# Integral Nonlinearity (DAC)

#### Nonideal DAC



# Integral Nonlinearity (ADC)

Continuous-input based INL definition



# Integral Nonlinearity (ADC)

#### Nonideal ADC





Place N-3 uniformly spaced points between  $X_{T1}$  and  $X_{T(N-1)}$  designated  $\mathcal{X}_{FTk}$   $INL_{k} = \mathcal{X}_{Tk} - \mathcal{X}_{FTI}$   $1 \le k \le N-2$  $INL = \max_{2 \le k \le N-2} \{|INL_{k}|\}$ 

#### How important is statistical analysis?

Example: 7-bit FLASH ADC with R-string DAC

Case 1  $\sigma_{VOS}$ =5mV

 $\mathsf{P}_{\mathsf{COMP}} = 0.565$ 

Since all comparators must be good, the ADC yield is

$$Y_{ADC} = (P_{COMP})^{127} = (0.565)^{127}$$
  
 $Y_{ADC} = 3.2 \cdot 10^{-32}$ 

This yield is essentially 0 and a standard deviation of 5mV is even not trivial to obtain with MOS comparators !

The effects of statistical variation can have dramatic effects on yield of data converters !



## **INL-based ENOB**

Consider initially the continuous INL definition for an ADC where the INL of an ideal ADC is  $X_{LSB}/2$ 

Assume INL= 
$$vX_{LSBR} = v\frac{X_{REF}}{2^{n_R}}$$

where  $X_{\text{LSBR}}$  is the LSB based upon the defined resolution ,  $n_{\text{R}}$ 

Define the equivalent LSB by

$$X_{LSBE} = \frac{X_{REF}}{2^{n_{EQ}}}$$

Thus (substituting for  $X_{REF}$  into INL expression):

INL=
$$v \frac{2^{n_{EQ}}}{2^{n_{R}}} X_{LSBE} = \left[v 2^{n_{EQ}+1-n_{R}}\right] \frac{X_{LSBE}}{2}$$

Since an ideal ADC has an INL of  $X_{LSB}/2$ , Setting term in [] to 1, can solve for  $n_{EQ}$  to obtain

ENOB = 
$$n_{EQ} = \log_2\left(\frac{1}{2\theta}\right) = n_R - 1 - \log_2(v)$$
  
where  $n_R$  is the defined resolution

Since the break-point INL is ideally 0, it is not related to either  $X_{LSB}$  or  $X_{REF}$ . As such, the magnitude of the break-point INL is independent of the resolution. It is thus difficult to naturally define the effective number of bits (ENOB) directly from the INL. However, since the gain (from input to interpreted output) of an ADC is ideally 1, the break-point INL is conveying about the same linearity information as the continuous-input INL. As such, the ENOB based upon the break-point INL is also defined by the same expression.

The ENOB based upon INL for both DACs and for ADCs is defined by the expression

#### $\mathsf{ENOB} = \mathsf{n}_{\mathsf{R}} - 1 - \mathsf{log}_2(\upsilon)$

where  $n_R$  is the specified resolution and v is the resolution in LSB at the  $n_R$  bit level.

The ENOB based upon INL for both DACs and for ADCs is defined by the expression

$$\mathsf{ENOB} = \mathsf{n}_{\mathsf{R}} - 1 - \mathsf{log}_2(\upsilon)$$

where  $n_R$  is the specified resolution and v is the resolution in LSB at the  $n_R$  bit level.

Question: With this definition, is it possible for a data converter to have an ENOB that is actually larger than  $n_R$ ? YES !

Question: What is the ENOB of any 1-bit ADC?  $\infty$  !

Question: Is it easy to design a 4-bit ADC with an ENOB of 7 bits? YES !

Question: Is it easy to design a 14-bit ADC with an ENOB of 16 bits? No !

Question: Is ENOB (based on INL) a systematic metric?



Interpretation of ENOB definition for a DAC:

A DAC with  $n_{EFF}$  bits (ENOB) of resolution should have all outputs bounded by +/-  $X_{LSB}/2$  from the fit line so distance between fit line and upper/lower bounding lines determines the ENOB

The ENOB based upon INL for both DACs and for ADCs is defined by the expression

$$\mathsf{ENOB} = \mathsf{n}_{\mathsf{R}} - 1 - \mathsf{log}_2(\upsilon)$$

where  $n_R$  is the specified resolution and v is the resolution in LSB at the  $n_R$  bit level.

Observation: The ENOB was defined relative to a fit line and was not dependent upon the number of DAC levels or the number of break points in the ADC

**Question:** Then, why does n<sub>R</sub> appear in the ENOB expression?

**Question:** Then, why does n<sub>R</sub> appear in the ENOB expression?

 $ENOB = n_R - 1 - \log_2(v)$ 



Normalization was with respect to the LSB which is dependent upon n<sub>R</sub>

Theorem: The INL ENOB is an inherent property of a data converter independent of the number of bits of resolution specified for a data converter

Proof: Assume a data converter has  $n_{RA}$  bits of resolution and an INL of  $v_A$  LSB and a converter with the same linearity was specified with  $n_{RB}$  bits of resolution and an INL of  $v_B$  LSB.

Since there are simply two representations of the same nonlinearity, the absolute INL will be the same for both representations. That is,  $INL_A=INL_B$  (1)

Based upon the first specification, the INL can be expressed as

$$NL_{A} = v_{A} X_{LSBA}$$
(2)

But since it is assumed to have  $n_{RA}$  bits of resolution

$$\frac{X_{\text{LSBA}}}{X_{\text{REF}}} = 2^{-n_{\text{RA}}}$$
(3)

Proof (cont)

Thus we obtain the expression

$$INL_{A} = \upsilon_{A} 2^{-n_{RA}} X_{REF}$$
(4)

and the ENOB is given by

$$\mathsf{ENOB}_{\mathsf{A}} = \mathsf{n}_{\mathsf{R}\mathsf{A}} - 1 - \mathsf{log}_2(\upsilon_{\mathsf{A}}) \tag{5}$$

Substituting from (4) into (5) we obtain

$$\mathsf{ENOB}_{\mathsf{A}} = \mathsf{log}_2(\mathsf{X}_{\mathsf{REF}}) - 1 - \mathsf{log}_2(\mathsf{INL}_{\mathsf{A}}) \tag{6}$$

By a similar argument we obtain

$$\mathsf{ENOB}_{\mathsf{B}} = \mathsf{n}_{\mathsf{RB}} - 1 - \log_2(\upsilon_{\mathsf{B}}) \tag{7}$$

and

$$\mathsf{ENOB}_{\mathsf{B}} = \mathsf{log}_2(\mathsf{X}_{\mathsf{REF}}) - 1 - \mathsf{log}_2(\mathsf{INL}_{\mathsf{B}})$$
(8)

Now, since  $INL_A = INL_B$ , it follows that

$$ENOB_A = ENOB_B$$

Theorem: The INL-based ENOB can be equivalently expressed as  $\Box$ 

$$\mathsf{ENOB} = \mathsf{log}_2(\mathsf{X}_{\mathsf{REF}}) - \mathsf{log}_2(\mathsf{INL}_{\mathsf{REF}}) - 1$$

where  $INL_{REF}$  is the INL expressed relative to  $X_{REF}$ .

Proof: follows directly from proof of previous theorem

 $\mathsf{ENOB}=\mathsf{ENOB}_{\mathsf{A}}=\mathsf{log}_2(\mathsf{X}_{\mathsf{REF}})-1-\mathsf{log}_2(\mathsf{INL}_{\mathsf{A}})=\mathsf{log}_2(\mathsf{X}_{\mathsf{REF}})-1-\mathsf{log}_2(\mathsf{INL}_{\mathsf{REF}})$ 

To avoid possible misinterpretation,  $\mathsf{INL}_{\mathsf{REF}}$  defined below

$$INL_{REF} = \frac{INL_{V}}{V_{REF}}$$

where  $\text{INL}_{V}$  is the deviation in volts from the end-point fit line and  $X_{\text{REF}}{=}V_{\text{REF}}$ 

Theorem: The INL-based ENOB can be equivalently expressed as

$$\mathsf{ENOB}=\mathsf{log}_2(\mathsf{X}_{\mathsf{REF}})-\mathsf{log}_2(\mathsf{INL}_{\mathsf{REF}})-1$$

where  $INL_{REF}$  is the INL expressed relative to  $X_{REF}$ .

Observe the INL-based ENOB does not depend upon the number of bits of resolution !

Can the INL-based ENOB on an n-bit ADC or DAC exceed n?

The answer is YES but in such a data converter it would probably be relatively easy to increase the number of bits while maintaining the ENOB and without increasing the number of bits, applications would probably be limited

If the INL-based ENOB of a data converter exceeds n, it is probably over-designed

Designing a data converter of more than 1 bit that has a high number of bits of linearity is challenging

#### Performance Characterization of Data Converters

- Static characteristics
  - Resolution
  - Least Significant Bit (LSB)
  - Offset and Gain Errors
    - Absolute Accuracy
  - Relative Accuracy
  - Integral Nonlinearity (INL)
  - Differential Nonlinearity (DNL)
  - Monotonicity (DAC)
- Missing Codes (ADC)
  - Quantization Noise
  - Low-f Spurious Free Dynamic Range (SFDR)
  - Low-f Total Harmonic Distortion (THD)
  - Effective Number of Bits (ENOB)
  - Power Dissipation

# **Differential Nonlinearity (DAC)**

#### Nonideal DAC



DNL(k) is the actual increment from code (k-1) to code k minus the ideal increment normalized to  $X_{LSB}$ 

$$DNL(k) = \frac{X_{OUT}(k) - X_{OUT}(k-1) - X_{LSB}}{X_{LSB}}$$

# **Differential Nonlinearity (DAC)**

Nonideal DAC



Increment at code k is a signed quantity and will be negative if  $X_{OUT}(k) < X_{OUT}(k-1)$ 

$$DNL(k) = \frac{X_{OUT}(k) - X_{OUT}(k-1) - X_{LSB}}{X_{LSB}}$$
$$DNL = \max_{1 \le k \le N-1} \left\{ |DNL(k)| \right\}$$
$$DNL = 0 \text{ for an ideal DAC}$$

# Monotonicity (DAC)

#### Nonideal DAC



Monotone DAC

Non-monotone DAC

Definition:

A DAC is monotone if 
$$\mathcal{X}_{OUT}(k) > \mathcal{X}_{OUT}(k-1)$$
 for all k

Theorem:

A DAC is monotone if DNL(k)> -1 for all k

# Differential Nonlinearity (DAC)

Nonideal DAC



Theorem: The INL<sub>k</sub> of a DAC (when corrected for gain error and offset) can be obtained from the DNL by the expression  $INL_{k} = \sum^{k} DNL(i)$ 

Caution: Be careful about using this theorem to measure the INL since errors in DNL measurement (or simulation) can accumulate

Corollary: The DNL of a DAC (when corrected for gain error and offset) can be expressed as

 $DNL(k)=INL_{k}-INL_{k-1}$ 

# **Differential Nonlinearity (DAC)**

Nonideal DAC



Theorem: If the INL of a DAC satisfies the relationship

$$|NL < \frac{1}{2} X_{LSB}$$

then the DAC is monotone

Note: This is a necessary but not sufficient condition for monotonicity

## **Differential Nonlinearity (ADC)**

Nonideal ADC



DNL(k) is the code width for code k – ideal code width normalized to  $X_{LSB}$ DNL(k)= $\frac{\chi_{T(k+1)} - \chi_{Tk} - \chi_{LSB}}{\chi_{LSB}}$ 

# Differential Nonlinearity (ADC)

**Nonideal ADC** 



 $DNL(k) = \frac{\mathcal{X}_{T(k+1)} - \mathcal{X}_{Tk} - \mathcal{X}_{LSB}}{\mathcal{X}_{LSB}}$  $DNL = \max_{2 \le k \le N-1} \{ |DNL(k)| \}$ 

DNL=0 for an ideal ADC

Note: In some nonideal ADCs, two or more break points could cause transitions to the same code  $C_k$  making the definition of DNL ambiguous

## Monotonicity in an ADC



Definition: An ADC is monotone if the

 $\vec{X}_{OUT}(\mathcal{X}_k) \ge \vec{X}_{OUT}(\mathcal{X}_m)$  whenever  $\mathcal{X}_k \ge \mathcal{X}_m$ 

Note: Have used  $\mathcal{X}_{Bk}$  instead of  $\mathcal{X}_{Tk}$  in figure on right since more than one transition point corresponds to a given code

Note: Some authors do not define monotonicity in an ADC.

## Missing Codes (ADC)



No missing codes

One missing code

Definition: An ADC has no missing codes if there are N-1 transition points and a single LSB code increment occurs at each transition point. If these criteria are <u>not</u> satisfied, we say the ADC has missing code(s).

Note: With this definition, all codes can be present but we still say it has "missing codes"

Note: Some authors claim that missing codes in an ADC are the counterpart to nonmonotonicity in a DAC. This association is questionable.

## Missing Codes (ADC)



# Weird Things Can Happen



- Multiple outputs for given inputs
- All codes present but missing codes

Be careful on definition and measurement of linearity parameters to avoid having weird behavior convolute analysis, simulation or measurements

Most authors (including manufacturers) are sloppy with their definitions of data converter performance parameters and are not robust to some weird operation

#### LSB Definition

 $X_{LSB}$  appears in many performance specifications but the definition of  $X_{LSB}$  is generally not given. This can cause modest inconsistencies in the definition of some performance specifications.

What is X<sub>LSB</sub>?

#### LSB Definition

 $X_{LSB}$  appears in many performance specifications but the definition of  $X_{LSB}$  is generally not given. This can cause modest inconsistencies in the definition of some performance specifications.

```
What is X<sub>LSB</sub>?
```

Conventional Wisdom X<sub>LSB</sub>

$$X_{LSB} = \frac{X_{REF}}{2^{n_R}}$$

(X<sub>LSB</sub> determined by specified resolution and can not be measured)

#### Alternate LSB Definition

 $X_{LSB}$  appears in many performance specifications but a distinction in  $X_{LSB}$ that differs from that obtained from specified values for  $X_{REF}$  and  $n_R$  is generally not given. This can cause modest inconsistencies in the definition of some performance specifications.

#### DAC

Alternate definitions of  $X_{LSB}$ where N is the measured number of DAC output levels  $X_{LSB} = \frac{X_0 (N-1) - X_0 (0)}{N_1 (1-1)}$ where N is the measured number of DAC output levels and  $X_0(N-1)$  and  $X_0(0)$  are last and first outputs respectively

useful when extreme values do not occur at minimum and maximum input codes

useful for determining worst-case resolution of a DAC

 $\underset{k \in \mathbb{N}}{\max \{X_{0}(k)\} - \min \{X_{0}(k)\}}{\max \{X_{0}(k)\}}$ 

 $X_{LSB} = \frac{X_{REF}}{N}$ 

 $X_{LSB} = \max_{k} \{X_{0}(k) - X_{0}(k-1)\}$ 

#### ADC

Similar definitions can be made for X<sub>LSB</sub> of an ADC based upon the breakpoints

## Alternate LSB Definition

Is the concept of an LSB that is based upon measurements useful?

In many control applications, the largest gap between outputs of a DAC is often of interest and though that is ideally  $V_{\rm LSB},$  it may differ significantly

## **ENOB** based upon DNL

If it is assumed that an acceptable DNL for an n-bit data converter is  $X_{LSB}/2$ , then if the DNL is different from  $X_{LSB}/2$ , the effective number of bits essentially changes.

An ENOB based upon the DNL can be defined (homework problem)

### ENOB relative to resolution

If an n-bit data converter has an INL of ¼ LSB, it is really performing from a linearity viewpoint at the n+1 bit level and if it has an INL of 1/8 LSB it is really performing at the n+2 bit level

Correspondingly, if it has a DNL of ¼ LSB, it is also performing from a differential linearity viewpoint at the n+1 bit level

Observation: The ENOB of a data converter can exceed the number of bits of resolution of the data converter

Observations: Some applications benefit from an ENOB that exceeds the resolution of the data converter

- INL is a key parameter that is attempting to characterize the overall linearity of a DAC !
- INL is a key parameter that is attempting to characterize the overall linearity of an ADC !
- DNL is a key parameter that is attempts to characterize the local linearity of a DAC !
- DNL is a key parameter that is attempts to characterize the local linearity of an ADC !

Are INL and DNL effective at characterizing the linearity of a data converter?

Consider the following 4 transfer characteristics, all of which have the same INL





See Lecture 4



Although same INL, dramatic difference in performance particularly when inputs are sinusoidal-type excitations

INL also gives little indication of how performance degrades at higher frequencies Spectral Analysis often used as an alternative (and often more useful in many applications) linearity measure for data converters

**Consider ADC** 



Linearity testing often based upon code density testing

Code density testing:



Ramp or multiple ramps often used for excitation Linearity of test signal is critical (typically 3 or 4 bits more linear than DUT)



• First and last bins generally have many extra counts (and thus no useful information)

Typically average 16 or 32 hits per code

Code density testing:

$$\overline{C} = \frac{\sum_{i=1}^{N-2} \widehat{C}_i}{N-2}$$



 $\mathsf{DNL} = \max_{1 \le i \le N-2} \left\{ |\mathsf{DNL}_i| \right\}$ 

$$INL = \max_{1 \le i \le N-3} \{ |INL_i| \}$$



 $\begin{array}{c} \text{Code density testing:} \\ \text{DNL}_{i} = \frac{\hat{C}_{i} - \bar{C}}{\bar{C}} \\ \text{INL}_{i} = \begin{cases} 0 \\ \left[\sum\limits_{k=1}^{i} \hat{C}_{k}\right] - i\bar{C} \\ \left[\sum\limits_{k=1}^{i} \hat{C}_{k}\right] - i\bar{C} \\ \left[\sum\limits_{k=1}^{i} \hat{C}_{k}\right] - i\bar{C} \\ 1 \le i \le N-3 \end{cases} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{cases} \\ \begin{array}{c} \text{INL} \\ \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le i \le N-3 \end{array} \\ \begin{array}{c} \text{INL} \\ 1 \le N-$ 

- Code Density Measurements are Indirect Measurements of the INL and DNL
- Can give very wrong information under some nonmonotone missing code scenarios
- Often use an average of 16 or 32 samples per code
- Measurement noise often 1 lsb or larger but averages out
- Sometimes use good sinusoidal waveform but must correct code density for this distinction
- Full code-density testing is costly for high-resolution low-speed data converters because of data acquisition costs
- Reduced code testing using servo methods is often a less costly alternative but may miss some errors

#### Performance Characterization of Data Converters

- Static characteristics
  - Resolution
  - Least Significant Bit (LSB)
  - Offset and Gain Errors
  - Absolute Accuracy
  - Relative Accuracy
  - Integral Nonlinearity (INL)
  - Differential Nonlinearity (DNL)
  - Monotonicity (DAC)
  - Missing Codes (ADC)
    - Quantization Noise
  - Low-f Spurious Free Dynamic Range (SFDR)
  - Low-f Total Harmonic Distortion (THD)
  - Effective Number of Bits (ENOB)
  - Power Dissipation

## **Quantization Noise**

- DACs and ADCs generally quantize both amplitude and time
- If converting a continuous-time signal (ADC) or generating a desired continuoustime signal (DAC) these quantizations cause a difference in time and amplitude from the desired signal
- First a few comments about Noise

#### What is Noise in a data converter?

Noise is a term applied to some nonideal effects of a data converter

Precise definition of noise is probably not useful

Some differences in views about what nonideal characteristics of a data converter should be referred to as noise

#### Types of noise:

- Random perturbations in V or I due to movement of electrons in electronic circuits
- Interfering signals generated by other systems
- Interfering signals generated by a circuit or system itself
- - Sample Jitter
  - Harmonic Distortion

#### Noise

All of these types of noise are present in data converters and are of concern when designing most data converters

Can not eliminate any of these noise types but with careful design can manage their effects to certain levels

Noise (in particular the random noise) is often the major factor limiting the ultimate performance potential of many if not most data converters

## Noise

#### Types of noise:

- Perturbations in V or I due to movement of electrons in electronic circuits
- Interfering signals generated by other systems
- Interfering signals generated by a circuit or system itself
- Error signals associated with imperfect signal processing algorithms or circuits
  - Quantization noise
    - Sample Jitter
    - Harmonic Distortion

Quantization noise is a significant component of this noise in ADCs and DACs and is present even if the ADC or DAC is ideal

#### Quantization Noise in ADC (same concepts apply to DACs)

Consider an Ideal ADC with first transition point at 0.5X<sub>LSB</sub>



If the input is a low frequency sawtooth waveform of period T that goes from 0 to  $X_{REF}$ , the error signal in the time domain will be:



This time-domain waveform (after dc offset is removed) is termed the Quantization Noise for the ADC with a sawtooth (or triangular) input



For large n, this periodic waveform "behaves" much like a random noise source that is uncorrelated with the input and can be characterized by its RMS value which can be obtained by integrating over any interval of length  $T_{1.}$  For notational convenience, shift the waveform to the left by  $T_1/2$  units

$$\mathsf{E}_{\mathsf{RMS}} = \sqrt{\frac{1}{\mathsf{T}_{1}} \int_{-\mathsf{T}_{1}/2}^{\mathsf{T}_{1}/2} \varepsilon_{Q}^{2}(t) dt}$$



In this interval,  $\varepsilon_Q$  can be expressed as

$$\varepsilon_{Q}(t) = -\left(\frac{X_{LSB}}{T_{1}}\right)t$$

$$\mathsf{E}_{\mathsf{RMS}} = \sqrt{\frac{1}{\mathsf{T}_1} \int_{-\mathsf{T}_1/2}^{\mathsf{T}_1/2} \varepsilon_Q^2(t) dt}$$

$$\mathsf{E}_{\mathsf{RMS}} = \sqrt{\frac{1}{\mathsf{T}_{1}} \int_{-\mathsf{T}_{1}/2}^{\mathsf{T}_{1}/2} \left(-\frac{\mathscr{X}_{\mathsf{LSB}}}{\mathsf{T}_{1}}\right)^{2} \mathsf{t}^{2} dt}$$

$$E_{RMS} = \mathcal{X}_{LSB} \sqrt{\frac{1}{T_1^3} \left. \frac{t^3}{3} \right|_{-T_1/2}^{T_1/2}}$$

$$E_{RMS} = \frac{x_{LSB}}{\sqrt{12}}$$



$$E_{RMS} = \frac{\mathcal{X}_{LSB}}{\sqrt{12}}$$

The signal to quantization noise ratio (SNR) can now be determined. Since the input signal is a sawtooth waveform of period T and amplitude  $X_{REF}$ , it follows by the same analysis that it has an RMS value of

$$\mathcal{X}_{\text{RMS}} = \frac{\mathcal{X}_{\text{REF}}}{\sqrt{12}}$$

Thus the SNR is given by

$$SNR = \frac{\mathcal{X}_{RMS}}{E_{RMS}} = \frac{\mathcal{X}_{RMS}}{\mathcal{X}_{LSB}} = 2^{n}$$

or, in dB,

$$SNR_{dB} = 20(n \bullet \log 2) = 6.02n$$

Note: dB subscript often neglected when not concerned about confusion

How does the SNR change if the input is a sinusoid that goes from 0 to  $\mathcal{X}_{\text{REF}}$  centered at  $\mathcal{X}_{\text{REF}}/2?$ 



 $SNR = 20(n \cdot \log 2) = 6.02n$ 

How does the SNR change if the input is a sinusoid that goes from 0 to  $\mathcal{X}_{\text{REF}}$  centered at  $\mathcal{X}_{\text{REF}}/2?$ 



Time and amplitude quantization points



Time and Amplitude Quantized Waveform



How does the SNR change if the input is a sinusoid that goes from 0 to  $\mathscr{X}_{\text{REF}}$  centered at  $\mathscr{X}_{\text{REF}}/2?$ 



- Appears to be highly uncorrelated with input even though deterministic
- Mathematical expression for  $\epsilon_Q$  very messy
- Excursions exceed  $X_{LSB}$  (but will be smaller and bounded by ±  $X_{LSB}$ /2 for lower frequency signal/frequency clock ratios)
- For lower frequency inputs and higher resolution, at any time, errors are approximately uniformly distributed between  $-X_{LSB}/2$  and  $X_{LSB}/2$
- Analytical form for  $\epsilon_{QRMS}$  essentially impossible to obtain from  $\epsilon_Q(t)$

How does the SNR change if the input is a sinusoid that goes from 0 to  $\mathcal{X}_{\text{REF}}$  centered at  $\mathcal{X}_{\text{REF}}/2?$ 



For low  $f_{SIG}/f_{CL}$  ratios, bounded by ±XLB and at any point in time, behaves almost as if a uniformly distributed random variable

$$\varepsilon_Q \sim U[-0.5X_{LSB}, 0.5X_{LSB}]$$

Recall:

If the random variable f is uniformly distributed in the interval [A,B] f: U[A,B] then the mean and standard deviation of f are given by  $\mu_{f} = \frac{A+B}{2} \qquad \sigma_{f} = \frac{B-A}{\sqrt{12}}$ 

Theorem: If n(t) is a random process, then for large T,  

$$V_{RMS} = \sqrt{\frac{1}{T} \int_{t_1}^{t_1+T} n^2(t) dt} = \sqrt{\sigma_n^2 + \mu_n^2}$$

How does the SNR change if the input is a sinusoid that goes from 0 to  $\mathcal{X}_{\text{REF}}$  centered at  $\mathcal{X}_{\text{REF}}/2?$ 



Note this is the same RMS noise that was present with a triangular input

How does the SNR change if the input is a sinusoid that goes from 0 to  $\mathcal{X}_{\text{REF}}$  centered at  $\mathcal{X}_{\text{REF}}/2?$ 



#### **ENOB** based upon Quantization Noise

SNR = 6.02 n + 1.76

Solving for n, obtain

$$\mathsf{ENOB} = \frac{\mathsf{SNR}_{\mathsf{dB}} - 1.76}{6.02}$$

Note: could have used the  ${\rm SNR}_{\rm dB}$  for a triangle input and would have obtained the expression

$$\mathsf{ENOB} = \frac{\mathsf{SNR}_{\mathsf{dB}}}{6.02}$$

But the earlier expression is more widely used when specifying the ENOB based upon the noise level present in a data converter

#### **ENOB** based upon Quantization Noise

For very low resolution levels, the assumption that the quantization noise is uncorrelated with the signal is not valid and the ENOB expression will cause a modest error (1)

from van de Plassche (p13)

$$SNR_{corr} \cong \left(2^{n}-2+\frac{4}{\pi}\right)\sqrt{\frac{3}{2}}$$

Res (n)	SNR <sub>corr</sub>	SNR	
1	3.86	7.78	
2	12.06	13.8	
3	19.0	19.82	SNR = 6.02 n +1.76
4	25.44	25.84	
5	31.66	31.86	
6	37.79	37.88	
8	49.90	49.92	
10	61.95	61.96	

Table values in dB

Almost no difference for  $n \ge 3$ 



### Stay Safe and Stay Healthy !

#### End of Lecture 3